

Substitute for form 1449A/B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/376,487 09/820,371
Filing Date	8/18/99
First Named Inventor	Awadhesh K. Mishra
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYE PHARMA

Sheet 1 of 12

## U.S. PATENT DOCUMENTS

Examiner Initials	Serial No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
N.E.	1	2803582		Cherney	8/20/1957	
	2	3137631		Soloway	6/16/1964	
	3	3216897		Krantz et al.	11/9/1965	
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	5	3440318		Polin	4/22/1969	
	6	3594476		Merrill	7/20/1971	
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Examiner Signature

*[Signature]*

Date Considered

12/2/05

\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **2** of **12****Complete if Known**

Application Number	09/376,487- 09/820,371
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	54	4421747		Ghyczy et al.	12/20/1983	
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	59	4483847		Augart	1/20/1984	
	60	4485054		Mezei et al.	11/27/1984	
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	62	4515736		Deamer	5/7/1985	
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	64	4529561		Hunt et al.	7/16/1985	
	65	4532089		MacDonald	7/30/1985	
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Examiner Signature

Nah

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Please type a plus sign (+) inside this box → +

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Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYEPhARMA

(Use as many sheets as necessary)

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U.S. PATENT DOCUMENTS						
Examiner Initials / TRADEMARK	Doc. No.	U.S. Patent Document Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date if Appropriate
<i>N-G</i>	73	4711902		Semo	12/8/1987	
	74	4719239		Muller et al.	1/12/1988	
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	76	4756910		Yagi et al.	7/12/1988	
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Examiner Signature	<i>N-G</i>	Date Considered	<i>12/2/05</i>
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Please type a plus sign (+) inside this box → +

Substitute for form 1449A/B/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2>		<b>Complete if Known</b> Application Number: <u>09/376,487</u> <u>09/820,371</u> Filing Date: <u>8/18/99</u> First Named Inventor: <u>Awadhesh K. Mishra</u> Group Art Unit: <u>1617</u> Examiner Name: <u>E. Webman</u> Attorney Docket Number: <u>402090/SKYEPhARMA</u>	
Sheet <u>1</u> of <u>12</u>			

U.S. PATENT DOCUMENTS						
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date if Appropriate
		Application or Patent Number	Kind Code			
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N.C.	137	5338761		Nakajima et al.	8/16/1994	

Examiner Signature: <u>Na</u>	Date Considered: <u>12/2/05</u>
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Complete If Known

Application Number	09/230,487 820,371
Filing Date	8/18/99
First Named Inventor	Awadhesh K. Mishra
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYE PHARMA

Use as many sheets as necessary

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U.S. PATENT DOCUMENTS						
Examiner Initials	Patent No.	U.S. Patent Document Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date if Appropriate
N.G.	138	5340588		Domb	8/23/1994	
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	142	5376646		Pittrof et al.	12/27/1994	
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Examiner Signature	<i>N.G.</i>	Date Considered	12/2/05
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**USE as many sheets as necessary)**

Sheet	6	of	12
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**Complete if Known**

Application Number	09/376,467 09/820,371
Filing Date	8/18/99
First Named Inventor	Awadhes K. Mishra
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYEPHARMA

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## U.S. PATENT DOCUMENTS

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		Application Number	09/376,487 09/820,571
		Filing Date	8/18/99
		First Named Inventor	Awadhesh K. Mishra
		Group Art Unit	1617
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Sheet 7 of 12	Attorney Docket Number	402090/SKYEPhARMA	

U.S. PATENT DOCUMENTS						
Examiner Initials	U.S. Patent Document No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
N.E.	187	5645856		Lacy et al.	7/8/1997	
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N.E.	221	5773026		Schlipalius	6/30/1998	

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Sheet 8 of 12

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	241	5855908		Stanley et al.	1/5/1999	
	242	5858398		Cho	1/12/1999	
	243	5858401		Bhalani et al.	1/12/1999	
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	245	5882676		Lee et al.	3/16/1999	
	246	5885172		Hebert et al.	3/23/1999	
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	250	5908869		Jones et al.	6/1/1999	
	251	5912007		Pan et al.	6/15/1999	
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N-G	254	5922340		Berde et al.	7/13/1999	

Examiner Signature

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	283	6054421	Lyons et al.	4/25/2000	
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	286	6071534	Kim et al.	6/6/2000	
	287	6071927	Baker et al.	6/6/2000	
	288	6071928	Curtis et al.	6/6/2000	
	289	6071933	Joo et al.	6/6/2000	
	290	6071974	Patel et al.	6/6/2000	
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	297	6106848	Preuilh et al.	8/22/2000	
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	299	6113921	Friedman et al.	9/5/2000	
	300	6117066	Abrams et al.	9/12/2000	
	301	6120797	Meers et al.	9/19/2000	
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	303	6123923	Unger et al.	9/26/2000	
	304	6132766	Sankaram et al.	10/17/2000	
	305	6153217	Jin et al.	11/28/2000	
N.C.	306	6160007	DeMichele et al.	12/12/2000	

Examiner Signature

N.C.

Date Considered

12/2/05

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Substitute for form 1449A/B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary

Sheet

11

of

12

## Complete if Known

Application Number	09/376,487-09/820,371
Filing Date	8/18/99
First Named Inventor	Awadhesh K. Mishra
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYE PHARMA

NOV 22 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
N	307	6180136		Larson et al.	1/30/2001	
	308	6190894		Thornfeldt et al.	2/20/2001	
	309	6197323		Georgieff	3/6/2001	
	310	6204257		Stella et al.	3/20/2001	
	311	6217886		Onyoksel et al.	4/17/2001	
	312	6221383		Miranda et al.	4/24/2001	
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	318	6264917		Klaveness et al.	7/24/2001	
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	337	6383471		Chen et al.	5/7/2002	
	338	6391336		Royer	5/21/2002	
	339	6391832		Lyons et al.	5/21/2002	
N	340	6399087		Zhang et al.	6/4/2002	

Examiner Signature

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

12

• **Of**

12

Application Number	09/076,487 09/820,371
Filing Date	8/18/99
First Named Inventor	Awadhesh K. Mishra
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYEPHARMA

## U.S. PATENT DOCUMENTS

[illegible]

## OTHER - NON PATENT LITERATURE DOCUMENTS

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
	348	Letter regarding Collection/Validity Search of Amphiphilic Lipid-Coated Drug Formulations dated November 3, 2000		
	349	Letter regarding Collection/Validity Search of Surface-Stabilized Particles dated November 6, 2000.		

**Examiner Signature**

Nat'l

Date Considered \_\_\_\_\_

12/5/05

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INFORMATION DISCLOSURE  
CITATION

ATTY. DOCKET NO.

SERIAL NO.

121-175

~~09/376,487~~

09/820,371

APPLICANT

MISHRA et al

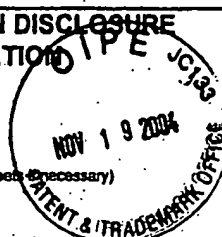
FILING DATE

GROUP

August 18, 1999

1617

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.E	5,589,508	12/96	Schlotzer et al			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
N.E 0 770 387 A1	5/97	Europe			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, etc.)


\*Examiner Nabla Date Considered 12/8/05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

**SERIAL NO.**

~~09/376,487~~

09/820,371

FILING DATE

**GROUP**

(Use several sheets if necessary)

**August 18, 1999**

1617

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No. 2

12/5/05

Form PTO-FB-A820 (Also PTO-1449)

**INFORMATION DISCLOSURE  
CITATION**

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SERIAL NO.

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~~09/376,487~~

09/820,371 ✓

APPLICANT

MISHRA et al

(Use several sheets if necessary)

FILING DATE

GROUP

August 18, 1999

1617

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NIC	6,100,302	8/00	Pejaver et al.	514	731	April 5, 1999

**FOREIGN PATENT DOCUMENTS**

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**


\*Examiner NIC Date Considered 12/5/05

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Form PTO-FB-A820 (Also PTO-1449)

**SERIAL NO.**

~~09/376,487~~ 09/820,371

**GROUP**

FILING DATE

1617

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						TRANSLATION	
DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO	
N. G.	WO 00/59471	10/2000	WIPO				
	WO 00/59472	10/2000	WIPO				
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12/5/05

Form PTO-FB-A820 (Also PTO-1449)



Substitute for form 1448A/B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	<del>9376-487</del> 09/820/371
Filing Date	August 18, 1999
First Named Inventor	MISHRA
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090

**U.S. PATENT DOCUMENTS**

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date if Appropriate
		Application or Patent Number	Kind Code			
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Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
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	36	EP	770 381		Oreal	5/2/1997		X*

Examiner Signature


N G

Date Considered

12/8/05

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	9/376,487 09/829,371	
			Filing Date	August 18, 1999	
			First Named Inventor	MISHRA	
			Group Art Unit	1617	
			Examiner Name	E. Webman	
Sheet	2	of	2	Attorney Docket Number	402090

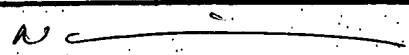
FOREIGN PATENT DOCUMENTS								
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
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	50	PCT	WO 91/04011		Riker Labs., Inc.	4/4/1991		
	51	PCT	WO 93/19736		Kabi Pharmacia AB	10/14/1993		
	52	PCT	WO 94/20072		Pharmacia AB	9/15/1994		
	53	PCT	WO 96/01637		Astra Aktiebolag	1/25/1996		
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	55	PCT	WO 96/21439		Galephar P.R. Inc.	7/18/1996		
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	57	PCT	WO 97/10814		Vesifact AG	3/27/1997		
	58	PCT	WO 97/14407		Research Triangle Pharmaceuticals	4/24/1997		
	59	PCT	WO 98/53805		Saucy Ltd.	12/3/1998		
	60	PCT	WO 99/39698		Gensia Sicor Inc.	8/12/1999		
	61	PCT	WO 00/10531		RTP Pharma Inc.	3/2/2000		
	62	PCT	WO 00/24376		Abbott Labs.	5/4/2000		
	63	PCT	WO 00/54588		Krusz	9/21/00		
	64	PCT	WO 00/59471		Baxter International, Inc.	10/12/2000		
	65	PCT	WO 00/59472		Baxter International, Inc.	10/12/2000		
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Examiner Signature	N.E	Date Considered	12/5/05
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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	<del>09/370,187</del> <b>09/820,371</b>		
		Filing Date	August 18, 1999		
		First Named Inventor	MISHRA		
		Group Art Unit	1617		
		Examiner Name	E. Webman		
Sheet	1	of	3	Attorney Docket Number	402090/SKYEPhARMA

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
N	1	"Diprivan 1% Injection," Physicians' Desk Reference, 1996, pp. 2833-39.		
	2	"Diprivan 1% Injection," Physicians' Desk Reference, 1999, pp. 3411-18.		
	3	Ardulno, M.J. et al., "Microbial Growth and Endotoxin Production in the Intravenous Anesthetic Propofol", Infection Control and Hospital Epidemiology, Vol. 12, No. 9, September 1991, pp. 535-539.		
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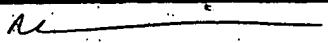
Examiner Signature		Date Considered	12/5/05
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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	<del>09/376,487</del> <b>09/1820,371</b>	
			Filing Date	August 18, 1999	
			First Named Inventor	MISHRA	
			Group Art Unit	1617	
			Examiner Name	E. Webman	
Sheet	2	of	3	Attorney Docket Number	402090/SKYE PHARMA

OTHER - NON PATENT LITERATURE DOCUMENTS				
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			Yes	No**
N.E	18	Eddleston et al., "The Effect on Serum Lipid Concentrations of a Prolonged Infusion of Propofol - Hypertriglyceridaemia Associated with Propofol Administration," Intensive Care Med., (1991), 17:424-426.		
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+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/376,487 09/820,371	
			Filing Date	August 18, 1999	
			First Named Inventor	MISHRA	
			Group Art Unit	1617	
			Examiner Name	E. Webman	
Sheet	3	of	3	Attorney Docket Number	402090/SKYE PHARMA

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
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- \* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).  
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Substitute for form 1449A/8/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/376,467 09/820,571	
			Filing Date	August 18, 1999	
			First Named Inventor	MISHRA	
			Group Art Unit	1617	
Examiner Name	E. Webman				
Sheet	1	of	2	Attorney Docket Number	402090/SKYE PHARMA

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Filing Date	August 18, 1999
First Named Inventor	MISHRA
Group Art Unit	1617
Examiner Name	E. Webman
Attorney Docket Number	402090/SKYE PHARMA

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Examiner Signature

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**INFORMATION DISCLOSURE  
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APPLICANT

MISHRA et al

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March 23, 2001

SERIAL NO.

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\*Examiner

Date Considered

6/04

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.